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Washington, D.C. 20231 on October 25, Loco

Patent Application Docket No. UTR-104 Serial No. 09/654,357

Frank C. Eisenschenk, Ph.D., Patent Attorney

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Michael B. Zemel, Hang Shi, Paula C. Zemel

Serial No.

09/654,357

Filed

September 1, 2000

For

Sir:

Materials and Methods for the Treatment or Prevention of Obesity

Assistant Commissioner for Patents

Washington, D.C. 20231

TRANSMITTAL LETTER

Attached is an executed Verified Statement (Declaration) Claiming Small Entity Status (37) CFR 1.9(f) and 1.27(c)) - Tax Exempt for the above-referenced provisional patent application. The subject application was filed as a large entity. The applicants are entitled to small entity and this executed Small Entity Declaration is being submitted to the Patent Office within two months of the filing date of the application as required by 37 CFR §1.28. Therefore, please refund the · undersigned's Deposit Account 19-0065 in the amount of \$240.00.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 19-0065.

Respectfully submitted,

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executed Verified Statement (Declaration) Claiming Small Entity Status (37 CFR Attachment:

1.9(f) and 1.27(c)) - Tax Exempt